

# **Purchase Contract**

(hereafter the "Contract")

# 1. CONTRACTUAL PARTIES

# 1.1 Fyzikální ústav AV ČR, v. v. i.,

with seat: Na Slovance 1999/2, 182 21 Praha 8,

represented by: RNDr. Michael Prouza, Ph.D. - Director,

Registered in the Register of public research institutions of the Ministry of Education, Youth and

Sports of the Czech Republic.

Bank:

Account No.:

ID No.: 68378271

Tax ID No.: CZ68378271

(hereinafter the "Buyer")

and

# 1.2 Dr Eberl MBE-Komponenten GmbH,

with seat: Josef-Beyerle-Strasse 18/1, 71263 Weil der Stadt (Germany),

represented by: Dr. Karl Eberl,

registered in district court Stuttgart (Amtsgericht Stuttgart).

Bank:

Account No.: IBAN / SWIFT CODE:

ID No.: HRB No. 252157
Tax ID No.: DE 128 221 218

(hereinafter the "Seller"),

(the Buyer and the Seller are hereinafter jointly referred to as the "Parties" and each of them individually as a "Party").



# 2. FUNDAMENTAL PROVISIONS

- 2.1 The Buyer is a public research institution whose primary activity is scientific research in the area of physics, especially elementary particles physics, condensed systems, plasma and optics.
- 2.2 The Buyer is in the process of implementing a project Reg. No. CZ.02.1.01/0.0/0.0/16\_013/0001405 with the title "LNSM Laboratory of Spintronics" within the framework of the Operational Programme Research, Development and Education (OP RDE) (hereafter the "Project").
- 2.3 The subject matter of this Contract is funded using grant provided to the Project, for which it is destined.
- 2.4 The Buyer wishes to acquire the subject of performance hereof in order to ensure that the Buyer will be able to prepare semiconducting and semimetallic epitaxial layers using the molecular beam epitaxy.
- 2.5 The Seller was selected as the winner of a public procurement procedure announced by the Buyer in accordance with Act No. 134/2016 Coll., on Public Procurement, as amended (hereinafter the "Act"), for the public contract called "MBE Apparatus" (hereinafter the "Procurement Procedure").
- 2.6 The documentation necessary for the execution of the subject of performance hereof consist of
  - 2.6.1 Technical specifications of the subject of performance hereof attached as **Annex No. 1** hereto.
  - 2.6.2 The Seller's bid submitted within the Procurement Procedure in its parts which describe the subject of performance in technical detail (hereinafter the "Sellers's Bid"); the Sellers's Bid forms Annex No. 2 to this Contract and is an integral part hereof.

In the event of a conflict between the Contract's Annexes the technical specification / requirement of the higher level / quality shall prevail.

- 2.7 The Seller declares that it has all the professional prerequisites required for the supply of the subject of performance under this Contract, is authorised to supply the subject of performance and there exist no obstacles on the part of the Seller that would prevent the Seller from supplying the subject of this Contract to the Buyer.
- 2.8 The Seller acknowledges that the Buyer considers the Seller's participation in the Procedure, provided that the Seller complies with all qualification requirements, as the confirmation of the fact that the Seller is capable of providing performance under the Contract with such knowledge, diligence and care that is associated and expected of the Seller's profession, and that the Seller's potential performance lacking such professional care would give rise to corresponding liability on the Seller's part. The Seller is prohibited from misusing its qualities as the expert or its economic position in order to create or exploit dependency of the weaker Party or to establish an unjustified imbalance in the mutual rights and obligation of the Parties.





- 2.9 The Seller acknowledges that the Buyer is not in connection to the subject of this Contract an entrepreneur and also that the subject of this Contract is not related to any business activities of the Buyer.
- 2.10 The Seller acknowledges that the production and delivery of the subject of performance within the specified time and of the specified quality, as shown in Annexes No. 1 and 2 of this Contract (including the delivery and invoicing), is essential for the Buyer. If the Seller fails to meet contractual requirements, it may incur damage of the Buyer.
- 2.11 The Contractual Parties declare that they shall maintain confidentiality with respect to all facts and information, which they learn in connection herewith and / or during performance hereunder, and whose disclosure could cause damage to either Party. Confidentiality provisions do not prejudice obligations on the part of the Buyer arising from valid legislation.

# 3. SUBJECT-MATTER OF THE CONTRACT

3.1 The subject of this Contract is the obligation on the part of the Seller to deliver and transfer into the Buyer's ownership:

the MBE Apparatus (hereafter the "Equipment")

and the Buyer undertakes to take delivery of the Equipment and to pay to the Seller the agreed upon price.

- 3.2 The following activities form an integral part of the performance to be provided by the Seller:
  - 3.2.1 Elaboration of the project documentation for the Equipment;
  - 3.2.2 Formulation of conditions which must be met at the place of Buyer in order to install the Equipment;
  - 3.2.3 Demonstration of the functionality of the Equipment at the place of manufacture;
  - 3.2.4 Transport of the Equipment incl. all accessories specified in Annexes 1 and 2 of the Contract to the site, un-packaging and control thereof;
  - 3.2.5 Installation of the Equipment including connection to installation infrastructure at the site;
  - 3.2.6 Execution of the acceptance tests;
  - 3.2.7 Delivery of instructions and operating and repair manuals to the Equipment in Czech or English language to the Buyer, in electronic and hardcopy (printed) versions;
  - 3.2.8 Training of operators at the site (at least two-day training of 2 operators);
  - 3.2.9 Free-of-charge warranty service including service inspections;





- 3.2.10 Provision of technical support in the form of consultations.
- 3.3 The subject of performance (Equipment) is specified in detail in Annexes No. 1 and No. 2 hereto.
- 3.4 The Seller shall be liable for the Equipment and related services to be in full compliance with this Contract, its Annexes, the submitted bid and all valid legal regulation, technical and quality standards and that the Buyer will be able to use the Equipment for the defined purpose. In case of any conflict between applicable standards it is understood that the more strict standard or its part shall always apply.
- 3.5 The delivered Equipment and all its parts and accessories must be brand new and unused.
- 3.6 Additional terms and conditions pertaining to the delivery:
  - 3.6.1 The Seller proceeds independently during the manufacture and delivery of the Equipment while respecting all mutually agreed conditions.
  - 3.6.2 The Seller shall be obliged to notify the Buyer, without unnecessary detail, if any instructions issued by the Buyer in connection with the performance hereunder would be inappropriate, provided that the Seller is able to discern the inappropriateness of such instructions using all professional due care.

## 4. PERFORMANCE PERIOD

- 4.1 The Seller undertakes to manufacture, deliver, install and handover the Equipment to the Buyer within 12 months of the conclusion of this Contract in the following phases:
  - 4.1.1 Within 3 month of the conclusion of this Contract
    - the completed technical documentation to the Equipment shall be handed over for approval,
    - the list of technical requirements which must be met by the Buyer prior the installation of the Equipment shall be handed over.
  - 4.1.2 Within 11 months of the conclusion of this Contract the functionality of the Equipment shall be demonstrated at Seller's premises.
  - 4.1.3 Within 12 months of the conclusion of this Contract the Equipment shall be delivered and with Buyer's assistance installed in the premises of the Buyer at Cukrovarnická 112/10, 162 00 Praha 6, Czech Republic, building F, room No. 95.
- 4.2 The Seller shall not deliver the Equipment to the place of delivery before July 1, 2018.
- 4.3 The performance period shall be extended for a period during which the Seller could not perform due to obstacles on the part of the Buyer. If this period exceeds 3 months, the Buyer is obligated to transfer the corresponding payment.





# 5. PURCHASE PRICE, INVOICING, PAYMENTS

- 5.1 The purchase price is based on the Seller's submitted bid and amounts to **930,000.oo** € (in words: nine-hundred-thirty-thousand) excluding VAT (hereinafter the "Price"). VAT shall be paid by the Buyer and settled in accordance with the valid Czech regulation.
- 5.2 The Price represents the maximum binding offer by the Seller and includes any and all performance provided by the Seller in connection with meeting the Buyer's requirements for the proper and complete delivery of the Equipment hereunder, as well as all costs that the Seller may incur in connection with the delivery, installation and handover, and including all other costs of expenses that may arise in connection with creation of an intellectual property creation and its protection.
- 5.3 The Parties agreed that the Seller shall be entitled to invoice the Price as follows:
  - 5.3.1 The first part corresponding to 40 % of the total Price in the amount of **372,000.oo** € excl. VAT after the conclusion hereof.
  - 5.3.2 The second part corresponding to 50 % of the total Price in the amount of **465,000.oo** € excl. VAT after the demonstration the Equipment's functionality at the manufacturer's facilities based on a signed protocol of the successful demonstration.
  - 5.3.3 The third part corresponding to 10 % of the total Price in the amount of **93,000.oo** € excl. VAT after Handover protocol in accordance with Section 12.4 will have been signed between the Parties. In case the Equipment will be handed over with defects and / or unfinished work, the Price will be invoiced after removal of these defects and / or unfinished work.
- 5.4 The invoice issued by the Seller as a tax document must contain all information required by the applicable laws of the Czech Republic. Invoices issued by the Seller in accordance with this Contract shall contain in particular following information:
  - 5.4.1 name and registered office of the Buyer,
  - 5.4.2 tax identification number of the Buyer,
  - 5.4.3 name and registered office of the Seller,
  - 5.4.4 tax identification number of the Seller,
  - 5.4.5 registration number of the tax document,
  - 5.4.6 scope of the performance (including the reference to this Contract),
  - 5.4.7 the date of the issue of the tax document,
  - 5.4.8 the date of the fulfilment of the Contract,
  - 5.4.9 purchase Price,





- 5.4.10 registration number of this Contract, which the Buyer shall communicate to the Seller based on Seller's request before the issuance of the invoice,
- 5.4.11 declaration that the performance of the Contract is for the purposes of the project "LNSM Laboratory of Spintronics", Reg. No. CZ.02.1.01/0.0/0.0/16\_013/0001405

and must comply with the double tax avoidance agreements, if applicable.

- 5.5 The Buyer prefers electronic invoicing, with the invoices being delivered to <a href="mailto:efaktury@fzu.cz">efaktury@fzu.cz</a>. All issued invoices shall comply with any international treaties prohibiting double taxation, if applicable.
- 5.6 Invoices shall be payable within thirty (30) days of the date of their delivery to the Buyer. Payment of the invoiced amount means the date of its remittance to the Seller's account.
- 5.7 If an invoice is not issued in conformity with the payment terms stipulated by the Contract or if it does not comply with the requirements stipulated by law, the Buyer shall be entitled to return the invoice to the Seller as incomplete, or incorrectly issued, for correction or issue of a new invoice, as appropriate, within five (5) business days of the date of its delivery to the Buyer. In such a case, the Buyer shall not be in delay with the payment of the Price or part thereof and the Seller shall issue a corrected invoice with a new and identical maturity period commencing on the date of delivery of the corrected or newly issued invoice to the Buyer.
- 5.8 The Buyer shall be entitled to unilaterally set off any of its payments against any receivables claimed by the Seller due to:
  - 5.8.1 damages caused by the Seller,
  - 5.8.2 contractual penalties.
- 5.9 The Seller shall not be entitled to set off any of its receivables against any part of the Buyer's receivable hereunder.

# 6. OWNERSHIP TITLE

6.1 The ownership right to the Equipment shall pass to the Buyer by handover. Handover shall be understood as delivery and acceptance of the Equipment duly confirmed by Parties on the Handover Protocol.

# 7. PLACE OF DELIVERY OF THE EQUIPMENT

7.1 The place of delivery and handover of the Equipment shall be the premises of the Buyer at Cukrovarnická 112/10, 162 00 Praha 6, Czech Republic, building F, room No. 95.

# 8. PROJECT DOCUMENTATION AND INSTALLATION CONDITIONS





- 8.1 The Seller undertakes to hand over the project documentation of the Equipment (hereafter the "PD") to the Buyer corresponding to the technical specification as defined in Annex No. 1 and 2 hereto.
- 8.2 Within elaborating the PD the Seller shall be bound by the requirements formulated by the Buyer in Annex No. 1 hereto; the Buyer shall have the right to inspect / review the PD.
- 8.3 The Parties shall execute a handover protocol for the PD. The PD handover does not prejudice the continuing Seller's liability for professional execution of the PD including the responsibility for optimal solution with regard to the purpose of the Equipment.
- 8.4 The Seller undertakes to hand over to the Buyer a list of conditions that must be met in order to properly install the Equipment including all required parameters of electrical connections, gas, air-conditioning, Equipment components' location, room temperature etc. (hereinafter the "List").
- 8.5 The List must comply with the PD and must be reasonable in terms of the intended purpose and use of the Equipment.

# 9. PREPAREDNESS OF THE PLACE OF DELIVERY

- 9.1 The Seller shall notify the Buyer in writing of the exact date of installation of the Equipment at least 14 days prior to such date, ensuring that the deadline for the performance hereunder is maintained.
- 9.2 The Buyer shall be obliged to allow the Seller, once the deadline set forth in Section 9.1 hereof expires, to install the Equipment at the place of performance.

# 10. COOPERATION OF THE PARTIES

- 10.1 The Seller undertakes to notify the Buyer of any obstacles on its part, which may negatively influence proper and timely delivery of the Equipment.
- 10.2 The Seller shall be obliged to notify the Buyer of any inappropriate method or instruction as may be issued by the Buyer in connection with requirements for the elaboration of the PD. The Seller shall manufacture the Equipment according to an inappropriate method or instruction as may be issued by the Buyer only if the Buyer continues to insist on such inappropriate method or instruction in writing, regardless of the prior notification in writing from the Seller to the Buyer to that effect.
- 10.3 The Buyer shall be entitled to receive information on the progress with the Equipment manufacture.

# 11. **DEMONSTRATION**

- 11.1 The Seller shall invite the Buyer to participate in the Equipment demonstration at least 14 days in advance.
- 11.2 The demonstration shall take place at Seller's premises.
- 11.3 The Parties shall execute an acceptance protocol in case the preliminary testing of the Equipment confirms that the Equipment is functional and complies to technical specifications according to





Annexes No. 1 and No. 2 hereof.

# 12. DELIVERY, INSTALLATION, HANDOVER AND ACCEPTANCE

- 12.1 The Seller shall transport the Equipment at its own cost to the place of handover. If the shipment is intact, the Buyer shall issue delivery note for the Seller.
- 12.2 The Seller shall perform and document the installation of the Equipment and launch experimental test in order to verify whether the Equipment is functional and meets the technical requirements of Annexes No. 1 and 2 hereof.
- 12.3 Handover procedure includes handover of any and all technical documentation pertaining to the Equipment, user manuals and certificate of compliance of the Equipment and all its parts and accessories with approved standards.
- 12.4 The handover procedure shall be completed by handover of the Equipment confirmed by the Handover Protocol containing specifications of all performed tests. The Handover Protocol shall contain the following mandatory information:
  - 12.4.1 Information about the Seller, the Buyer and any subcontractors,
  - 12.4.2 Description of the Equipment including description of all components and serial numbers,
  - 12.4.3 Description of executed acceptance tests: type of test, duration, achieved parameters,
  - 12.4.4 List of technical documentation including the manuals,
  - 12.4.5 Confirmation on training, its participants and extent,
  - 12.4.6 Eventually reservation of the Buyer regarding minor defects and unfinished work including the manner and deadline for their removal,
  - 12.4.7 Date of signature of the Equipment Handover Protocol.
- 12.5 Handover of the Equipment does not release the Seller from liability for damage caused by product defects.
- 12.6 The Buyer shall not be obliged to accept the Equipment, which would show defects or unfinished work and which would otherwise not form a barrier, on their own or in connection with other defects, to using the Equipment. In this case, the Buyer shall issue a record containing the reason for its refusal to accept the Equipment.
- 12.7 Should the Buyer not exercise its right not to accept the Equipment with defects or unfinished work, the Seller and the Buyer shall list these defects or unfinished work in the Handover Protocol, including the manner and deadline for their removal. Should the Parties not be able to agree in the Handover Protocol on the deadline for removal of the defects, it shall be understood that any defects shall be removed / rectified within 14 days from the handover and acceptance of the Equipment.



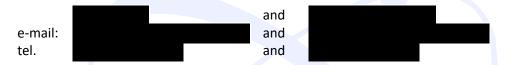


# 13. TECHNICAL ASSISTANCE – CONSULTATIONS

13.1 The Seller shall be obliged to provide to the Buyer free-of-charge technical assistance by phone or email relating to the subject matter hereof during the entire term of the warranty period. The Seller undertakes to provide to the Buyer paid consultations and technical assistance relating to the subject matter hereof also after the warranty period expires.

# **14.** REPRESENTATIVES, NOTICES:

14.1 The Seller authorized the following representatives to communicate with the Buyer in all matters relating to the Equipment delivery:



14.2 The Buyer authorized the following representatives to communicate with the Seller:



- 14.3 All notifications to be made between the Parties hereunder must be made out in writing and delivered to the other Party by hand (with confirmed receipt) or by registered post (to the Buyer's or Seller's address), or in some other form of registered post or electronic delivery incorporating electronic signature (qualified certificate) to <a href="mailto:epodatelna@fzu.cz">epodatelna@fzu.cz</a> in case of the Buyer and to <a href="mailto:eberl@mbe-komponenten.de">eberl@mbe-komponenten.de</a> in case of the Seller.
- 14.4 In all technical and expert matters (discussions on the Equipment testing and demonstration, notification of the need to provide warranty or post-warranty service, technical assistance etc.) electronic communication between technical representatives of the Parties will be acceptable using e-mail addresses defined in Sections 14.1 and 14.2.

# 15. TERMINATION

- 15.1 The Buyer is entitled to withdraw from the Contract without any sanction on the part of the Seller if the Seller is in delay with the handover longer than 10 weeks after the date pursuant to Section 4.1 hereof. In case the Buyer causes any delay, this clause is void.
- 15.2 The Seller is entitled to withdraw from the Contract in the event of the Buyer being in default with the payment for more than 2 months with the exception of the cases when the Buyer refused invoice due to defect on the delivered Equipment or due to breach of the Contract by the Seller.
- 15.3 Withdrawal from the Contract becomes effective on the day the written notification to that effect is delivered to the other Party. The Party which had received performance from the other Party prior to such withdrawal shall duly return such performance.



## 16. INSURANCE

- 16.1 The Seller undertakes to insure the Equipment against all risks, in the amount of the Price of the Equipment for the entire period commencing when transport of the Equipment starts until duly handed over to the Buyer. In case of breach of this obligation, the Seller shall be liable to the Buyer for any damage that may arise.
- 16.2 The Seller is liable for the damage that he has caused. The Seller is also liable for damage caused by third parties undertaken to carry out performance or its part under this Contract.

#### 17. WARRANTY TERMS

- 17.1 The Seller shall provide warranty for the quality of the Equipment for a period of **24** months. The warranty term shall commence on the day following the date of signing of the Handover Protocol pursuant to Section 12.4 hereof. The warranty does not cover consumable things.
- 17.2 Should the Buyer discover a defect, he shall notify the Seller to rectify such defect using the email address <a href="mailto:service@mbe-komponenten.de">service@mbe-komponenten.de</a>. The Seller shall be obliged to review any warranty claim within 7 business days from receipt and to propose solution, unless agreed otherwise by the Parties.
- 17.3 During the warranty period the Seller shall be obliged to rectify any claimed defects within 14 business days from receipt of the Buyer's notification. In cases of unusual defects, the Seller shall be obliged to rectify the defect in the period corresponding to the nature of the defect and to define the deadline for the handover of the rectified Equipment.
- 17.4 During the warranty period any and all costs associated with defect rectification / repair including transport and travel expenses shall be always borne by the Seller.
- 17.5 The repaired Equipment shall be handed over by the Seller to the Buyer on the basis of a protocol confirming removal of the defect (hereinafter the "Repair Protocol") containing confirmations of both Parties that the Equipment was duly repaired and is defect-free.
- 17.6 The repaired portion of the Equipment shall be subject to a 6-month warranty for the repaired components.
- 17.7 The Seller declares that it shall ensure post-warranty [out-of-warranty] service for the period of 5 years after the warranty term expires; the service terms shall be identical with provisions of Sections 17.2 and 17.3.
- 17.8 The Seller undertakes to provide the Buyer with updates of the software controlling the Equipment for the entire term of warranty service.

#### 18. CONTRACTUAL PENALTIES

18.1 The Buyer shall be entitled to claim a contractual penalty against the Seller in the amount of 0,01 % from the Price for each commenced day of delay with the delivery pursuant to Section 4.1 hereof with a possible grace period of 3 weeks.





18.2 All contractual penalties shall be payable within 30 days from the date claimed.

# 19. DISPUTES

19.1 Any and all disputes arising out of this Contract or the legal relationships connected with the Contract shall be resolved by the Parties by mutual negotiations. In the event that any dispute cannot be resolved by negotiations within sixty (60) days, the dispute shall be resolved by the competent court in the Czech Republic based on application of any of the Parties; the court having jurisdiction will be the court where the seat of the Buyer is located. Disputes shall be resolved exclusively by the law of the Czech Republic.

# 20. ACCEPTANCE OF THE PROJECT RULES

20.1 The Seller, using all necessary professional care, shall cooperate during financial inspections carried out in accordance with Act No. 320/2001 Coll., on Financial Inspections, as amended, or during other financial inspections carried out by any auditing entities (particularly by the Managing Authority of the Operational Program Research, Development and Education) and shall allow access also to those portions of the bid submitted within the Procurement Procedure, the Contract and related documents which may be protected by special legal regulation, given that all requirements set forth by legal regulation with respect to the manner of executing such inspections will have been observed.

# 21. FINAL PROVISIONS

- 21.1 This Contract represents the entire agreement between the Buyer and the Seller. The relationships between the Parties not regulated in this Contract shall be governed by the Act No. 89/2012 Coll., the Civil Code, as amended.
- 21.2 In the event that any of the provisions of this Contract shall later be shown or determined to be invalid, ineffective or unenforceable, then such invalidity, ineffectiveness or unenforceability shall not cause invalidity, ineffectiveness or unenforceability of the Contract as a whole. In such event the Parties undertake without undue delay to subsequently clarify any such provision or replace after mutual agreement such invalid, ineffective or unenforceable provision of the Contract by a new provision, that in the extent permitted by the laws and regulations of the Czech Republic, relates as closely as possible to the intentions of the Parties to the Contract at the time of creation hereof.
- 21.3 This Contract may be changed or supplemented solely by means of numbered amendments in writing, furnished with the details of time and place and signed by duly authorised representatives of the Parties. The Parties expressly reject modifications to the Contract in any other manner.
- 21.4 This Contract is drawn up in three (3) counterparts, each of which is deemed to be the original. The Seller shall receive two (2) counterparts, the Buyer shall receive one (1) counterpart.
- 21.5 The Parties expressly agree that the Contract as a whole, including all attachments and data on the Parties, subject of the Contract, numerical designation of this Contract, the Price and the date of the Contract conclusion, will be published in accordance with Act No. 340/2015 Coll. on special conditions for the effectiveness of some contracts, publication of these contracts and Contract





Register, as amended (hereinafter the "CRA"). The Parties hereby declare that all information contained in the Contract and its Annexes are not considered trade secrets under § 504 of the Civil Code and grant permission for their use and disclosure without setting any additional conditions.

- 21.6 The Parties agree that the Buyer shall ensure the publication of the Contract in the Contract Register in accordance with CRA.
- 21.7 This Contract becomes valid and effective as of the day of its publication in the Contract Register.
- 21.8 The following Annexes form an integral part of the Contract:
  - Annex No. 1: Technical specification on the subject of performance (the Seller shall fill in all blue fields in columns "Complies YES/NO" and "N")
  - Annex No. 2: Technical description of the device as presented in Seller's bid (the Seller shall present in its bid)
- 21.9 The Parties, manifesting their consent with the entire contents of this Contract, attach their signature hereunder.

In Prague on 16. 11. 2017	In Weil der Stadt on November 6 <sup>th</sup> 2017
For the Buyer:	For the Seller:
RNDr. Michael Prouza, Ph.D.	Dr. Karl Eberl
Director	Chief Executive Officer



# Annex No. 1

# Technical specification on the subject of performance as defined by the Buyer

Seller shall fill in all the blue fields in the following table of Technical specifications. Blue fields in column "Complies YES/NO" shall be filled either with YES, or NO. Blue fields in column "N" shall be filled by value of parameter corresponding to column "Equipment surpassing the minimum specification"; values of the parameter are expected to lie within the limits shown in column "Expected range of parameter". Wherever appropriate, the Seller may add explanatory remarks below the Table referring to the Item reference number from the last column.

Minimum specification of the Equipment	Complies YES/NO	Equipment surpassing the minimum specification	Expected range of parameter (N)	N	Formula for weighted partial score (points)	Item ref.
	_					
	T	General requirem	ients		I —	
2 growth chambers	YES					1
1 buffer chamber	YES					2
1 dedicated wafer outgassing chamber	YES					3
1 load-lock chamber	YES					4
2-inch sample holder system	YES					5
		Growth chambe	er A			
base pressure less than 8x10 <sup>-11</sup> Torr	YES					6
LN2 cooling shroud	YES					7
10 cell ports, at least 6 of them with DN63CF flange	YES					8
		number (N) of ports with DN63CF flange	6 ≤ N ≤ 10	10	(N-6)*100	9
at least 4 independent shutter ports	YES					10
		number (N) of independent shutter ports	4 ≤ N ≤ 10	10	(N-4)*100	11
cryo-pump at least 1500 l/s	YES					12





		pumping speed (N, in I/s)	N ≥ 1500	1500	(N-1500)/6, max 250	13
ion-getter pump at least 300 l/s	YES					14
substrate manipulator with heater for at least 1000°C	YES					15
RHEED gun and screen	YES					16
beam-flux monitor	YES					17
		quadrupole mass spectrometer	N = 0 / 1	0	N*150	18
motorized valved cracker source for As with at least 300 cc	YES					19
dual filament cell suitable for Ga	YES					20
cold-lip cell suitable for Al	YES					21
		dual filament cell suitable for In	N = 0 / 1	1	N*400	22
		Si-filament doping source	N = 0 / 1	1	N*400	23
		C-filament doping source	N = 0 / 1	1	N*400	24
at least 4 independent cell shutters mounted	YES					25
		number of mounted shutters	4 ≤ N ≤ 10	6	(N-4)*80	26
2 optical ports dedicated for band- edge spectrometer	YES					27
		2 heated windows	N = 0 / 1	0	N*160	28
		Growth chambe	er B			1
base pressure less than 8x10 <sup>-11</sup> Torr	YES					29
LN2 cooling shroud	YES					30
10 cell ports, at least 6 of them with	YES					31





DN63CF flange						
J		number of ports with DN63CF flange	6 ≤ N ≤ 10	10	(N-6)*100	32
at least 6 independent shutter ports	YES					33
		number of independent shutter ports	6 ≤ N ≤ 10	10	(N-6)*100	34
cryo-pump at least 1500 l/s	YES					35
ion-getter pump at least 300 l/s	YES					36
substrate manipulator with heater for 1200°C	YES					37
installation of RHEED gun supplied by the buyer	YES					38
beam-flux monitor	YES				/	39
implementation of quadrupole mass spectrometer supplied by the buyer	YES					40
dual filament cell suitable for Ga	YES					41
dual filament cell suitable for Cu	YES					42
cold-lip cell suitable for Al	YES					43
GaP decomposition cell with integrated shutter	YES					44
single filament cell suitable for Mn	YES					45
high-temperature cell suitable for Fe	YES					46
Si-filament doping source with integrated shutter	YES					47
		high-temperature cell suitable for Pt	N = 1 / 0	1	N*400	48





		dual filament cell(s) for medium temperatures	0 ≤ N ≤ 2	1	N*400	49
		source retraction mechanism(s) (N=0/1)	0 ≤ N ≤ 2	0	N*400	50
at least 6 independent cell shutters mounted	YES					51
		number (N) of mounted shutters	6 ≤ N ≤ 10	9	(N-6)*80	52
2 optical ports dedicated for band- edge spectrometer	YES					53
		2 heated windows	N = 0 / 1	0	N*160	54
Buffer chamber w	ith wafer tr	ansfer mechanism conn		wth cha	mbers, outgass	ing
		chamber and load-lock	c chamber			
base pressure less than 2x10 <sup>-10</sup> Torr	YES					55
wafer storage magazine	YES					56
IGP-based pumping system	YES					57
	D	edicated wafer outgass	ing chamber	_	_	
heated station	YES					58
implementation of IGP-based pumping system supplied by the buyer	YES					59
		Load-lock cham	ber			
primary bake-out heater	YES					60
implementation of TMP-based pumping system supplied by the buyer	YES					61
		Accessories				
at least 5 wafer	YES					62





holders for 2-inch wafers			





# Annex No. 2

# The Seller's bid in the extent it describes technical parameters of the Equipment

# It includes the following separate documents:

Quotation Reference No. 2178941/MB, from date August 25th 2017 Attachment-A to Quotation (technical description and drawings) Attachment-B to Quotation (Training plan and acceptance criteria)







MBE-Komponenten GmbH | Josef-Beyerle-Str. 18/1 | D-71263 Weil der Stadt

Academy of Sciences of the Czech Republic Institute of Physics RNDr. Michael Prouza, Ph.D., Director Na Slovance 1999/2 182 21 PRAHA 8 CZECH REPUBLIC

Telefon: +420 266 052 121 Telefax: +420 286 890 527

eMail:

# QUOTATION

NAME OF THE PUBLIC CONTRACT: "MBE Apparatus"

Dr. Eberl MBE-Komponenten GmbH Josef-Beyerle-Str. 18/1 D-71263 Weil der Stadt Germany

Tel: +49 7033 6937-0 Fax: +49 7033 6937-290 sales@mbe-components.com www.mbe-components.com

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Eberl -218

Your VAT CZ68378271 Our VAT DE128221218

Our sign

Pos	Qty.	Description	Price	Total
		Dual MBE System with Linear Transfer Tunnel, Heated Station and L Chamber	oad-Lock	
		1. MBE Chamber I		
		-		
(1)	1	1.1 OCTOPLUS 400		EUR
	1 pc.	Cylindrical deposition chamber, 450mm ID. It has all essential features for high quality MBE growth. The deposition		
		chamber is made from non-magnetic stainless steel		
		10 source flanges DN 63 CF, radially arranged		
		DN 63 CF flange on bottom		
		8 to 10 separate flanges DN 63 CF for linear shutters		
		(exact number is fixed during design approval, it depends		
		on additional ports e.g. for ellipsometry etc.)		
		DN100 CF for wafer transfer		
		pump ports as needed for the pump system used		
		Flanges for RHEED, BFM, Pyrometer, mass-analyser.		
		2 optical ports dedicated for band-edge spectrometer.		
		and view ports for substrate transfer and source insight.		
		Pair of flanges for viewports targetet to substrate for		

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Pos	Qty.	Description	Price	Tota
		Brought forward1		EU
		optical in-situ measurement		
	1 pc.	Top-flange with integrated LN2 cooling shroud and flanges		
	•	for view ports and substrate manipulator.		
		Large volume LN2 cooling with effusion cells within the		
		LN2 shroud.		
		The cooling shroud is equiped with Vacuum-Barrier		
		adapters		
	1 pc.	System frame, bake-out equipment for uniform bakeout up		
		to 200°C, pre-vacuum channel to load-lock for initial pump		
		down,		
		Water cooling panal with individual flux control.		
		Cooling water lines Blind flanges for unused flanges, nuts bolts and gaskets.		
		Viewports and shutters for transfer and optical ports		
	1 pc.	All effusion cells and other sources are separated by cell		
	ı pc.	dividers made from molybdenum to avoid coverage of and		
		crosstalk between the various		
		effusion cell		
	1 pc.	Manual Transfer gate valve DN100 CF (VAT series 10)		
	·	between MBE and transfer chamber		
(2)	1	- 1.2 PUMPING SYSTEM		EU
(-)	1 pc.	Cryopump 1500l/s, Flange 200 CF		
		CT8 from Brooks, "low noice" version with 3phase motor		
		UHV-version with over-pressure protection		
		Si-diode as Temperature indikator at 10K-stage		
		incl Compressor, High Voltage		
		Helium Flexline Assembly, 8 meters		
		Cable Assembly, Compressor to Cryopump		
	1 pc.	Pneumatic UHV valve (VAT Series 10) 200, viton		
		for cryo-pump, with position indicator.		
	1 pc.	lon getter pump (nominal pumping speed 300 l/s ) from		
		Gamma Vacuum,		
	1 pc	Controller channel for IGP including cable set, bake-able		
	1 pc.	All metal valve DN 16 CF for cryo-pump regeneration		
	1 pc.	Pump-Valve emergency closing function.		
		with temperature indicator for the cryo-pump and with		
		emergency cloasing function		
		at power shut-down or at temperature increase above 30K (temperature adjustable)		

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Pos	Qty.	Description	Price	Total
		Brought forward2		EUR
(3)	1	- 1.3 MANIPULATOR		EUR
(3)	1 pc.	Substrate manipulator for 2" wafer with W heater		LOIK
	ı pc.	mounting flange DN150CF (O.D. 8")		
		2" wafer or substrates < 50mm		
		Mo wafer holder		
		25mm vertical stroke for substrate transfer		
		water cooled ceramic bearings and magnetically coupled		
		feedthrough for continuous rotation (0-30 RPM)		
		W-wire heater		
		typical max. temperatures up to 1200°C on Si substrate		
		thermocouple type C		
		maximum power 450W/14A		
	1 pc.	Integrated main shutter		
		Rotary feedthrough and Ta-shutter plate		
	1 pc	DC-Power Supply for substrate heater		
		control 0-5V, manual current limit LED display for voltage		
		and current		
	1 pc	Pid Controller channel with individual temperature display		
	1 pc.	Manipulator Control Unit		
		includes mounting parts for manipulator, motor		
		and control electronic for continuous rotation		
		0-30 RPM		
	1 pc.	RSM 90-CCW-1.0		
		Soft-acting Rotary Shutter Module		
		opening angle 90°, standard CCW rotation, shutter speed		
		1.0s per action, 8m cable		
		Input voltage 24 V DC Our Part No. 650-012-130-090		
	1 00			
	1 pc.	Power and TC cables		
	5 pc.	Moly wafer adapters for 2inch wafers, or 1/4 wafer.		
		Substrate size to be decided after ordering		
(4)	1	- 1.4 IN-SITU CONTROL EQUIPMENT		EUR
(4)	1			EUR
	1 pc.	RHEED gun and controller from Staib Instruments, 15keV,		
		including cables, RHEED screen on led glas, view port and viewport shutter		
	1	Implementation of Quadrupol Mass Analyser, in case an		
	ı	adequate QMA is provided by customer		
	1 pc.	Beam Flux Monitor including 150mm z-shift		
	ι μο.			
		Carried forward		EUR



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Pos	Qty.	Description	Price	Total
		Brought forward3		EUR
		mounting flange DN40CF (O.D. 2.75")		
		in vaccum diameter O.D. 36,5mm		
	1 pc.	BA-iridium twin filament ion gauge for pressure		
		measurement, high sensitivity p<3x10-11mbar including controler		
	1 pc.	Cold-cathode pressure gauge atm to 10-3 mbar for		
		pre-vacuum line		
		-		
		MBE SOURCES		
(5)	1	1.6 EFFUSION CELLS		EUR
	_	for Ga, In, Al		
	2 pc.	Effusion Cell WEZ (for Ga and In)		
		mounting flange DN40CF 35ccm PBN crucible		
		Dual Ta-wire filament		
		Type C WRe 5/26% thermocouple		
		bakeable up to 300 °C		
		maximum temperature 1400 °C (outgassing 1500°C)		
		electrical parameter: 400W/11A		
		dimensions under UHV: O.D. 37mm, length 287 mm		
	1 pc.	Liquide Ga collection tray mounted in shutter flange		
	1 pc.	Effusion Cell WEZ (for AI)		
		mounting flange DN40CF		
		35ccm PBN crucible		
		Cold lip Ta-wire filament  Type C WRe 5/26% thermocouple		
		bakeable up to 300 °C		
		maximum temperature 1400 °C (outgassing 1500°C)		
		electrical parameter: 400W/11A		
		dimensions under UHV: O.D. 37mm, length 287 mm		
	5 pc	Implementation of Delta Elektronika power supplies ES		
		030-10, and Eurotherm 2408 PID controllers provided by		
		customer		
	5 pc.	Cables for power and TC		
	3 pc.	Linear Soft Acting Shutter Module		
		magnetically coupled feedthrough mounting flange DN40CF (O.D. 2.75")		
		100mm maximum stroke		
		shutter speed 0.2s per action		
		Ta shutter plate and Mo shutter rod		

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Pos	Qty.	Description	Prid	ce Total
			Brought forward4	EUR
		_		
(6)	1	1.7 VALVED CRACKER SOURCE		■ EUR
(0)	1 pc.	Valved As-Cracker Source VACS		=====
		DN100CF (O.D. 6") valve flange		
		for 300cc As reservoir cell with integrated wa	ter cooling	
		t max=500°C /600W/9A		
		cracker for As-cracking		
		t max= 1200°C /300W/7A		
	1 pc.	VADP 100-63-K-LxxxD57-ID41		
		Valve Adaptor Flange for valved sources		
		valve flange DN100 CF (O.D. 6") water coole	ed	
		mounting flange DN63 CF (O.D. 4.5") XL=90mm, I.D. 41mm		
		Injector/Cracker cooling length 150-400mm		
		Our Part No. 156-002-110-000		
	1 pc.	MVCU-230V Motorized Valve Control Unit		
		Use with valved sources VACS and VGCS		
		includes servo motor and mounting kit		
		includes 6m cable set for connection of MVC	U to motor	
		3HE / 19" rack / manual and remote control (	0-10V analog	
		in)		
		automatic zero calibration step		
		power input 230V AC / 300W Our Part No. 650-033-000-230		
	2 pc	Implementation of Delta Elektronika power s	innlies ES	
	2 pc	030-10, and Eurotherm 2408 PID controllers		
		customer	provided by	
	2 set	Cables for power and TC, bakeable		
		-		
(7)	1	1.7 DOPING CELLS		EUR
		for Si and C		
	1 pc.	Silicon Doping Source - SUSI-D2 40-LxxxD3	6	
		mounting flange DN40CF (O.D. 2.75")		
		full Ta construction without hot ceramic parts		
		source material: high purity As doped silicon	stripe	
		two independent silicon filaments		
		self sensing operation mode possible fast ramping to stable value in about 10s		
		electrical data per filament: 150W/ max. 30A		
		75W/22A 1E19/cm³ @ 1µm/h GaAs	•	
		· - · - · · · · · · · · · · · · ·		

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		L			
Pos	Qty.	Description		Price	Total
			Brought forward5		EUR
		300°C bakeable			
	1 pc.	Carbon Doping Source SUKO-D			
		Base flange DN 40CF (O.D. 2.75-Inch),			
		Source Material: PGR (Pyrolytic Graphit	te)		
		WRe 5/26% Thermocouple			
		Graphite Filament is totaly shielded with	PGR-parts		
		water cooled current fedthrough electrical data: 500W/ max. 65A			
		300°C bakeable			
		Dimensions under UHV: O.D. 36mm			
		Length of Cell: 250-400mm			
	2 pc.	DC-Power Supply			
		with manual current limit, LED display fo	or voltage and		
		current			
		Cable set for connecting controller and p	power supply		
	0	Input wide range 90-265V AC			
	2 pc.	Pid Controller channel, with individual te	emperature display		
	2 pc.	Cable set for power and TC, bakeable			
	3 pc.	Linear Soft Acting Shutter Module			
	•	magnetically coupled feedthrough			
		mounting flange DN40CF (O.D. 2.75")			
		100mm maximum stroke			
		shutter speed 0.2s per action			
		Ta shutter plate and Mo shutter rod			
		Remark:			
		1 additional linear shutter is included			
		In total there are 6 linear shutters mount	ted in MBE		
		chamber I			
		2. MBE CHAMBER II			
		-			
(8)	1 pc.	2.1 OCTOPLUS 400			EUR
. ,	1 pc.	Cylindrical deposition chamber, 450mm	ID. It has all		
		essential features for high quality MBE of	growth. The		
		deposition			
		chamber is made from non-magnetic sta			
		10 source flanges DN 63 CF, radially an DN 63 CF flange on bottom	ranged		
		בוא טס כר וומוועפ טוו bottom			

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Pos Qty. Description **Price** Total Brought forward6 **EUR** 8 to 10 separate flanges DN 63 CF for linear shutters (exact number is fixed during design approval, it depends on additional ports e.g. for ellipsometry etc.) DN100 CF for wafer transfer pump ports as needed for the pump system used Flanges for RHEED, BFM, Pyrometer, mass-analyser. 2 optical ports dedicated for band-edge spectrometer. and view ports for substrate transfer and source insight. Pair of flanges for viewports targetet to substrate for optical in-situ measurement 1 pc. Top-flange with integrated N2Iq cooling shroud and flanges for view ports and substrate manipulator. The cooling shroud is equiped with Vacuum-Barrier adapters Short version, substrate surrounded by LN2 cooling, sources with separate water cooling. 1 pc. System frame, bakeout cover, fan heaters, blind flanges, screws, gaskets view ports and view port shutters etc. 1 set All effusion cells and other sources are separated by cell made from molybdenum to avoid coverage of and crosstalk between the various effusion cell 1 pc. Manual gate valve DN100 CF (VAT series 10) between MBE and transfer chamber. (9) 2.2 PUMPING SYSTEM EUR 1 pc. Cryopump 1500l/s, Flange 200 CF CT8 from Brooks, "low noice" version with 3phase motor UHV-version with over-pressure protection Si-diode as Temperature indikator at 10K-stage incl Compressor, High Voltage Helium Flexline Assembly, 8 meters Cable Assembly, Compressor to Cryopump 1 pc. Pneumatic UHV valve (VAT) 200, viton, series 10, with position indicator, for cryo-pump 1 pc. Pump-valve emergency closing function. with temperature indicator for the cryo-pump and with

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EUR

emergency cloasing function



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Pos	Qty.	Description	Price	Total
		Brought forward7		EUR
		at power shut-down or at temperature increase above 30K		
		(temperature adjustable)		
	1 pc.	lon getter pump (nominal pumping speed 300 l/s ) from		
	1 pc.	Gamma Vacuum,  Controller channel for lon getter pump including bakeable		
	ı pc.	cables		
	1 pc.	All metal valve for cryo-pump regeneration		
(10)	1	- 2.3 SUBSTRATE MANIPULATOR		EUR
	1 pc.	Substrate manipulator for 2" wafer with W heater		
		mounting flange DN150CF (O.D. 8")		
		2" wafer or substrates < 50mm		
		Mo wafer holder		
		25mm vertical stroke for substrate transfer		
		water cooled ceramic bearings and magnetically coupled		
		feedthrough for continuous rotation (0-30 RPM)		
		W-wire heater		
		max. substrate temperatures up to 1200°C		
		thermocouple type C		
		maximum power 450W/14A		
	1 pc.	DC-Power Supply		
		control 0-5V, manual current limit LED display for voltage		
		and current		
	1 pc.	PID control channel with individual temperature display		
	1 pc.	Cables for power and TC		
	1 pc.	Manipulator Control Unit		
		includes mounting parts for manipulator, motor		
		and control electronic for continuous rotation		
		0-30 RPM		
	1 pc.	Integrated manual rotary main shutter with Ta plate		
	1 pc.	RSM 90-CCW-1.0		
		Soft-acting Rotary Shutter Module		
		opening angle 90°, standard CCW rotation, shutter speed		
		1.0s per action, 8m cable		
		Input voltage 24 V DC		
	5 pc.	Moly wafer adapters for 2inch wafers, or 1/4 wafer.		
		Substrate size to be decided after ordering		
(11)	1	- 2.4 IN-SITU CONTROL EQUIPMENT		EUR
( • • )	1	Implementation effort for RHEED system with screen and		2010
	•	Carried forward		EUR
		Carried forward		LOIN



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Pos	Qty.	Description	Price	Total
		Brought forward8		EUR
		viewport, and shutter. The new RHEED system is provided		
		by customer		
	1	Implementation of Quadrupol Mass Analyser. The new		
		QMA is provided by customer		
	1 pc.	Beam Flux Monitor (BFM) including 200mm z-shift		
		mounting flange DN40CF (O.D. 2.75") in vaccum diameter O.D. 36,5mm		
		Including controller channel and bake-able cables		
		Remark:		
		The customer my exchange the BFM against a quartz		
		microbalance during the design approval after ordering		
		without additional cost.		
	1 pc.	BA-iridium twin filament ion gauge for pressure		
		measurement, high sensitivity p<3x10-11mbar including		
		controler		
	1 pc.	Cold-cathode pressure gauge atm to 10-3 mbar for		
		pre-vacuum line		
		- MBE SOURCES		
		WIBE SOURCES		
(12)	1	2.5 EFFUSION CELLS		EUR
-	2 pc.	Effusion Cell WEZ (for Ga and Cu)		
		mounting flange DN40CF		
		35ccm PBN crucible		
		Dual Ta-wire filament		
		Type C WRe 5/26% thermocouple		
		bakeable up to 300 °C		
		maximum temperature 1400 °C (outgassing 1500°C) electrical parameter: 400W/11A		
		dimensions under UHV: O.D. 37mm, length 287 mm		
	1 pc.	Liquide Ga collection tray mounted in shutter flange		
	1 pc.	Effusion Cell WEZ (for AI)		
		mounting flange DN40CF		
		35ccm PBN crucible		
		Cold lip Ta-wire filament		
		Type C WRe 5/26% thermocouple		
		bakeable up to 300 °C		
		maximum temperature 1400 °C (outgassing 1500°C)		
		electrical parameter: 400W/11A dimensions under UHV: O.D. 37mm, length 287 mm		
		uimensions under onv. O.D. 3/11111, length 20/111111		

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Pos	Qty.	Description	Price	Total
		Brought	forward9	EUR
	1 pc.	Effusion Cell WEZ (for Mn)		
		mounting flange DN40CF		
		35ccm PBN crucible		
		Standard Ta-wire filament		
		Type C WRe 5/26% thermocouple bakeable up to 300 °C		
		maximum temperature 1400 °C (outgassing 1500°C	3)	
		electrical parameter: 400W/11A	,	
		dimensions under UHV: O.D. 37mm, length 287 mm	1	
	6 pc.	DC-Power Supply		
	·	manual current limit, LED display for voltage and cu	rrent	
		Cable set for connecting controller and power suppl	y	
		Input wide range 90-265V AC		
	6 pc.	Pid Controller channel, with individual temperature of	display	
	6 pc.	Cable set for power and TC		
	4 pc.	Linear Soft Acting Shutter Module		
		magnetically coupled feedthrough		
		mounting flange DN40CF (O.D. 2.75") 100mm maximum stroke		
		shutter speed 0.2s per action		
		Ta shutter plate and Mo shutter rod		
		-		
(13)	1 pc.	2.6 GaP DECOMPOSITION SOURCE		EUR
	1 pc.	GaP Decomposition Source DECO		
		mounting flange DN63CF		
		Ta-wire heater		
		35ccm PBN crucible and PBN CAP-System for decomposition of GaP - estimated working temperar	turos	
		for	luies	
		doping in Si MBE 600°C-800°C		
		growth in III-V MBE 800°C-1000°C		
		WRe 5/26% Thermocouple		
		bakeable up to 300°C		
		Maximum temperature: 1400°C, maximum outgasss	sing	
		temperature: 1500°C		
		electrical parameter: 450W/10A for maximum		
	1 00	temperature, <180W/7A at 1000°C Integrated manual rotary shutter O.D.<40mm		
	1 pc.	with couble Ta-plate design.		
		Remark:		

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Pos	Qty.	Description	Price	Total
		Brought forward10		EUR
		An integrated rotary shutter is used for this source for		
		better on/off ratio		
	1 pc.	DC-Power Supply		
		manual current limit, LED display for voltage and current		
	1 pc.	Pid Controller channel, with individual temperature display		
	1 pc.	Cable set for power and TC		
	1 pc.	Soft-acting Rotary Shutter Module		
		opening angle 90°, standard CCW rotation, shutter speed		
		0.2s per action Input voltage 240 V AC		
		-		
(14)	1	2,7 DOPING SOURCES		EUR
(1-7)	1 pc.	Silicon Sublimation Source - SUSI 40		2011
		Base flange DN 40CF (O.D. 2.75-Inch),		
		Source Material: High purity As-doped Silicon		
		WRe 5/26% Thermocouple		
		Silicon Filament is totaly shielded with silicon parts		
		electrical data: 300W/ max. 55A		
		300°C bakeable		
		Dimensions under UHV: O.D. 36mm		
		Length of Cell: 250-450mm  Remark:		
		it allows Si doping and thin Si layer deposition		
	1 pc.	DC-Power Supply		
	1 00.	manual current limit, LED display for voltage and current		
		Cable set for connecting controller and power supply		
		Input wide range 90-265V AC		
	1 pc.	Pid Controller channel, with individual temperature display		
	1 pc.	Set of cables for power and TC, bakeable		
	1 pc.	Linear Soft Acting Shutter Module		
		magnetically coupled feedthrough		
		mounting flange DN40CF (O.D. 2.75")		
		100mm maximum stroke		
		shutter speed 0.2s per action		
		Ta shutter plate and Mo shutter rod		
(15)	2	- 2.8 HIGH TEMPERATURE SOURCE		EUR
(13)	2	for Fe and Pt		LUK
	2 pc.	High Temperature Effusion Cell w/o Cru		
	<b>–</b> po.	mounting flange DN63CF (O.D. 4.5")		

Dr. Eberl MBE-Komponenten GmbH Geschäftsführer/CEO: Dr. Karl Eberl Amtsgericht/district court Stuttgart HRB 252157 USt-ID/VAT no.: DE 128 221 218 Banken: Kreissparkasse Böblingen Vereinigte Volksbank AG Commerzbank Böblingen

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IBAN:
DE82 6035 0130 0004 1494 00
DE36 6039 0000 0041 3810 09
DE33 6004 0071 0625 1946 00

BIC: BBKRDE6B GENODES1BBV COBADEFFXXX



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Pos	Qty.	Description		Price	Total
			Brought forward11		EUR
		free-standing W-heating filament			
		- cell price without crucible -			
		Type C WRe 5%/26% thermocouple			
		maximum temperature 1700°C / 1900°C			
		(depends on crucible and evaporation ma	aterial)		
		bakeable up to 300°C			
		max. elektrical data :			
		1050W / 15A ( 1900 °C)			
	0	500W/ 12A ( 1700 °C)			
	2 pc.	10cc crucible for high temp. Cell			
		1x Al2O3 for Fe, 1x PG for Pt (low evaporation rate)			
		or other depending on material to evapor	ato		
		to be discussed and decided after ordering			
	2 pc.	DC-Power Supply	'9		
	2 po.	manual current limit, LED display for volta	age and current		
		Cable set for connecting controller and p			
		Input wide range 90-265V AC	11.7		
	2 pc.	Pid Controller channel, with individual ter	nperature display		
	2 pc.	Cable set for power and TC, bake-able			
	2 pc.	Linear Soft Acting Shutter Module			
		magnetically coupled feedthrough			
		mounting flange DN40CF (O.D. 2.75")			
		100mm maximum stroke			
		shutter speed 0.2s per action			
		Ta shutter plate and Mo shutter rod			
(16)	1 pc.	Dual-Filament Effusion Cell			EUR
		WEZ (for spare)			
		mounting flange DN40CF			
		35ccm PBN crucible			
		Dual Ta-wire filament			
		Type C WRe 5/26% thermocouple			
		bakeable up to 300 °C			
		maximum temperature 1400 °C (outgass	ing 1500°C)		
		electrical parameter: 400W/11A	yth 207 mm		
	0	dimensions under UHV: O.D. 37mm, leng			
	2 pc.	Set of cables for power and TC, bakeable Remark:	<del>;</del>		
		power supplies and PID controlers provide	led by customer		

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Pos	Qty.	Description	Price	Total
		Brought forward	112	EUR
	1 pc.	Linear Soft Acting Shutter Module magnetically coupled feedthrough mounting flange DN40CF (O.D. 2.75") 100mm maximum stroke shutter speed 0.2s per action Ta shutter plate and Mo shutter rod Remark: In total there are 9 source shutter modules mounted in MBE chamber II (8x linear, 1x rotary for GaP source)		
(17)	1 1 pc.	3. BUFFER AND TRANSFER CHAMBER UHV buffer chamber with flanges for transfer rods, ion gauge, view ports, Ion pump and wafer lift. The base pressure is <2x10-10 mbar. It is a linear tunnel transfer chamber with magnetic driven wafer trolley, which runns on mono-rail. Exact length will be fixed within approval process. It allows attachment of 2 MBE chambers, heated station and adapter exchange station. The buffer chamber allows modular expansion for later system extention by simply adding another transfer module (see attachment).		EUR
	1 pc.	System frame, bake-out equipment, blind flanges for un-used chamber flanges and view ports, required bellows. Integration with MBE controll system		
	1 pc.	Bellow unit to compensate for thermal expansion where needed		
	2 pc.	Ion getter pump (nominal pumping speed 300 l/s ) with a NW 150 CF (8" OD) inlet flange		
	2 pc.	Controller channel for IGP, incl. cables		
	3 pc.	Transfer rod for substrate tranfer from buffer chamber to MBE chamber I and II, and to heated station. Including wafer carrier and adjustment units.		
	3 pc.	Vertical lift for substrate transfer (2x MBE chamber, 1x heated station)		
	1 pc.	Wafer trolley for 5 substrate adapters to be moved manually on mono-rail by magnetically coupled handle Remark: This magazine can be moved to load-lock chamber for		

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Pos	Qty.	Description	Price	Total
		Brought forward13		EUR
		wafer loading in and out		
(17)	1 pc.	BA-iridium twin filament ion gauge for pressure		
,	·	measurement, high sensitivity p<3x10-11mbar including		
		controler		
(18)	1	4. FAST ENTRY CHAMBER		EUR
	1 pc.	Fast Entry load lock chamber with flanges for transfer		
		pressure gauge, view port, pumping etc. UHV linear		
		tunnel transfer system, with mono-rain and magnetically		
		coupled trolley movement.		
	1 pc.	Frame incl. UHV assessories, view port and bake-out		
		jacket		
	1	to perform outgassing of loaded substrates up to 150°C Implementation of pump:		
	ı	Remark:		
		New Turbo pump Edwards EXT240D (CF100) with		
		controller provided by customer.		
	1 pc.	Diapragm pump for pre-vacuum		
	1 pc.	Wide range cold cathod pressure gauge for pressure		
		measurement from atm to 5x10-9 mbar,		
	1 pc.	Manual gate valve (VAT series 10) 150 CF, between		
		Load-lock and buffer chamber		
	1 pc.	Quick-Access-Door Viton-sealed,		
		The quick loading door can be replaced by cupper gasket		
		sealed DN 150 CF flange for cell degasing.		
(19)	1	5. HEATED STATION FOR WAFER DEGASING		EUR
(19)	1 pc.	UHV chamber for heated station with flanges for transfer,		LOIN
	ι ρο.	additional pumping, pressure gauge, view port		
		Water cooling shroud integrated		
	1 pc.	Frame extention, blind flanges, view port, bake-out		
		equipment		
	1	Implementation of one 200l/s Ion Getter pump provided by		
		customer. It includes the adaption of the frame.		
	1 pc.	Wafer heater for 2 inch substrates		
		Ta heater with PBN diffusor, 25mm vertical lift		
	1 no	max substrate temperature 500°C		
	1 pc.	Power supply for the wafer heater		
	1 pc.	PID control channel with individual temperature display		



Date 25.08.2017 Page 15/16

Reference No. 2178941 / MB

Pos	Qty.	Description	Price	Total
		Brought forward14		EUR
	1 pc.	Cable set for power and TC		
		-		
(20)	1 pc.	6 MBE CONTROL SYSTEM		EUR
	2 pc.	MBE growth process software including hardware interface		
		control of:		
		- substrate manipulator (temp. rotation, shutter)		
		- up to 16 effusion cells (temperature, shutter)		
		- 2 valved sources cracker sources		
		- 18 shutters in total		
		- H2 cracker source temperature (if included)		
		<ul><li>heated station in prep-chamber (if included)</li><li>Ion Gauges</li></ul>		
		- pyrometer input (if included)		
		- flux measurement (for BFM)		
		- interfaces and hardware as needed		
		- including manual,		
	2 set	Cables set		
	2 pc.	PC for MBE control software		
	3 pc.	19" instrumentation rack		
		and electronic switch cabinet		
		for control electronics.		
	1 pc.	Beckhoff system controller including PC with touch screen		
		integrated in 19inch instrumentation rack		
		It includes bake-out control, water cooling flux control and		
		vacuum system integrity.		
		It also includes control of the pneumatic valves, and		
		automated chamber venting function for the different UHV		
		chambers. with VPN router for remote system access.		
		It also includes a separate electronic cabinet for the		
		electrical power management.		
	1 pc.	UPS for powering critical system components in the event		
	. 60.	of a power failure for about 10 min.		
		It operates the IGP pump and the Ga and Al sources		
	2 pc.	Shutter control unit, 12 channels (all sources and main		
	·	shutter)		
		incl. implementation effort and cables		
	2 set	Shutter cable set (cells + mainshutter)		
		-		
		7. OTHERS		

Carried forward



Date 25.08.2017 Page 16/16

Reference No. 2178941 / MB

Pos	Qty.	Description	Price	Total
		Brough	t forward15	EUR
(21)	1	Factory training for 3 days, few weeks before delivery, for 2 researchers from to customer in our laboratory. Travel expense and hot not included		EUR
(22)	1	Transportation to customer laboratory, packing and insurance		EUR
(23)	1	System installation, training, and acceptance test performed at customers site Acceptance criteria see Attachment B to this quotat	tion	EUR
			Amount	EUR
			Discount	EUR
			Total invoice amount	930.000,00 EUR

# Terms of Payment:

40 percent of the system price by T/T prepayment within 4 weeks after signing the purchase contract. 50 percent to payed after approved factory training and pre-acceptance test in our assembly laboratory 10 percent to be payed after shipping, installation and passing the final acceptance test

# Delivery:

Technical approval drawings provided about 3 month after signing the purchase contract The factory training and pre-acceptance in our laboratory will be performed within 11 month after ordering Time of delivery is not before July 1st. 2018, but within 12 months after ordering

Quotation valid 3 months after date of quotation.

Warrenty: 24 month after installation and approved acceptance testing, but not more then 26 month after delivery

See Purchase Contract for futher details

with best regards Dr. Karl Eberl





# Attachment – A to the Quotation 2017-08-25 "MBE Apparatus,"

Academy of Sciences of the Czech Republic Institute of Physics RNDr. Michael Prouza, Ph.D., Director Na Slovance 1999/2 182 21 PRAHA 8 (CZECH REPUBLIC)

Dr. Karl Eberl
MBE-Komponenten GmbH
71263 Weil der Stadt
Germany
www.mbe-komponenten.de





# Main features of the Dual-MBE System

# Confidential information

- MBE chamber I for III-V: Octoplus 400
   LN2 main cooling shroud
   2inch GaAs substrate heater, 10 source flanges (DN 63 CF)
   up to 10 linear shutters
   1x Cryopump 1500 l/s and Ion getter pump
- MBE chamber II: Octoplus 400
   2inch substrate heater, 10 source flanges DN 63 CF up to 10 linear shutters
   1x Cryopump 1500 l/s, lon getter pump 300l/s
- Buffer chamber for storage and transfer:
   Ion getter pump with TSP
   Magazine for 5 substrates (2 inch wafers)
- Heated station for wafer degassing up to 500°C with optional atomic H source water cooling shroud
- Fast-entry load-lock, with turbo pump
   5-wafer magazine, wafer bake-out at 150°C





# Expample of system layout



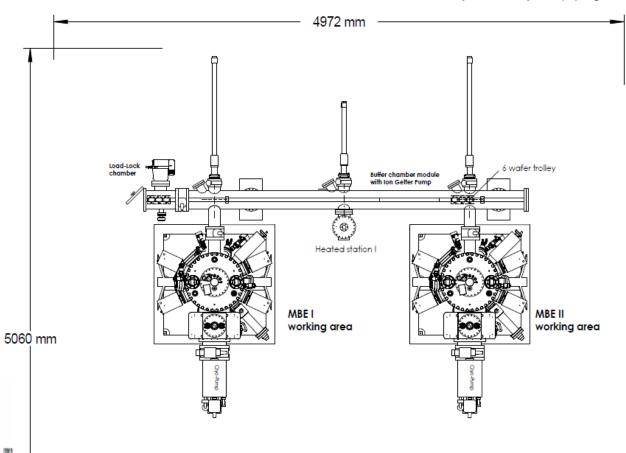


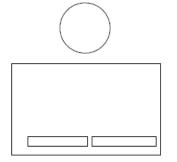
MBE III

19 inch

control rack

Octoplus 400 MBE System





MBE I 19 inch control rack

MBE I 19 inch control rack

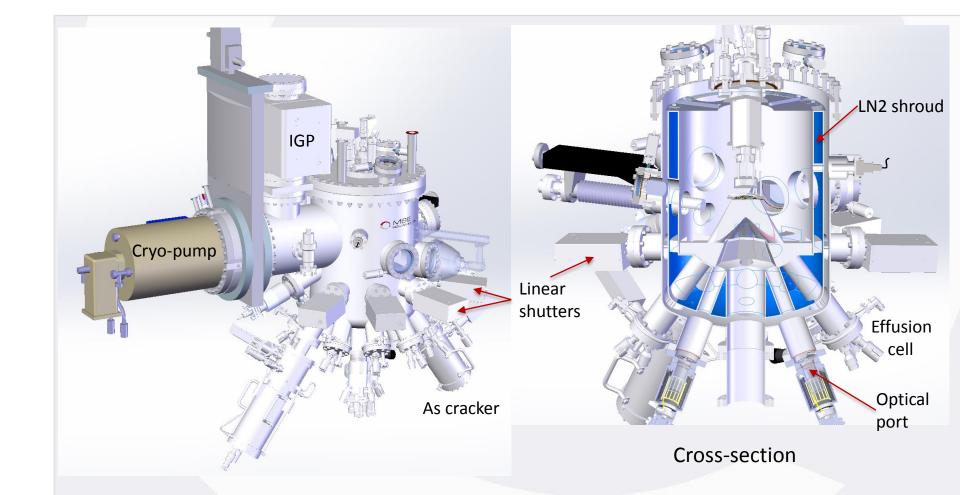


# Octoplus 400 for III-V MBE

(example: similar system)

Final drawings will be provided in the design approval process.

**Confidential** information





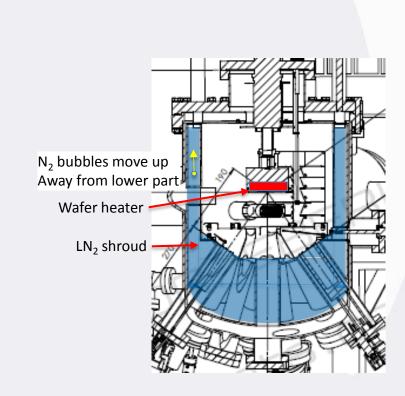
## Information to the design of the LN2 cooling shroud

Confidential information

#### **Key Features:**

- The LN2 cooling shroud is designed such, that the hot wafer can see mostly LN2 cooled areas all around on it's side and below.
- The hot sources are surrounded by LN2 cooling
- The LN2 is by design one large volume unit to ensure uniform LN2 cooling without creation of Nitrogen bubbles on hot areas. This is most important to avoid hot spots.
- N2 bubbles created in the area of the hot sources can raise up to an area which is behind the wafer – "most important".

<u>Comment:</u> These are the design rules, which are used in all the high mobility MBE systems





## **MBE System Control**

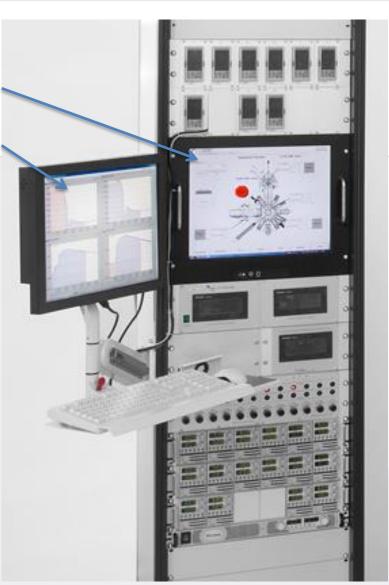
Example for MBE control rack

touch screen PC for system control

Separate PC for MBE process software

Main features:

- Industrial PLC control
- System status visualization with touch screen operation
- Vacuum pump controller
- Vacuum pressure interlock
- Cooling water flow monitor and interlock
- DC power supply monitor
- Automatic pump down and venting procedures
- Valve control with device safety check
- Automatic bake-out controller with timer and monitor
- I/O interfaces for the MBE process control software
   (e.g. pressure, vacuum, cooling water and power supply interlock)
- Options:
- Field bus interface instead of I/O interfaces
- Motor controller for automated substrate transfer



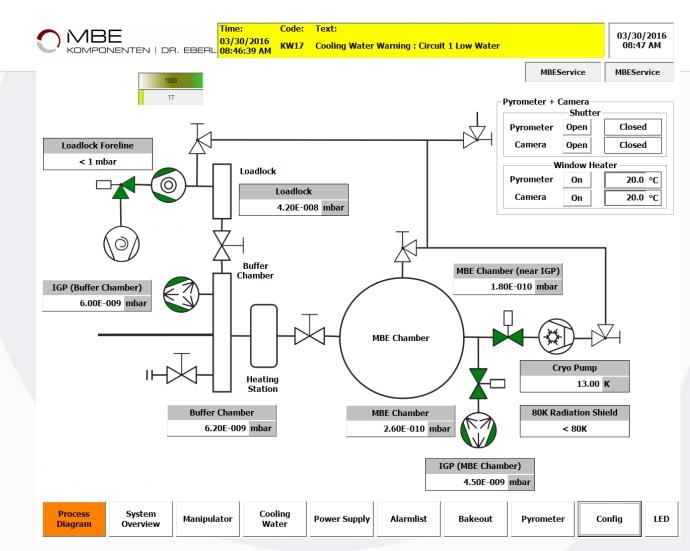


# MBE System Control (Example)

Page 1: Process Diagram

#### Information:

- Pressure in each chamber
- Overview of all components
- View port heaters
- Pump status



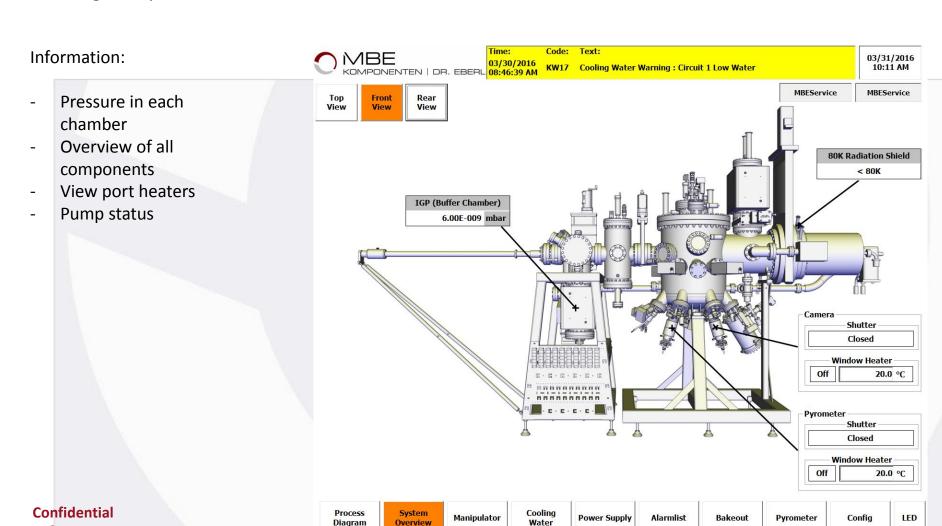
**Confidential** information



# MBE System Control (Example)

Page 2: System Overview

information



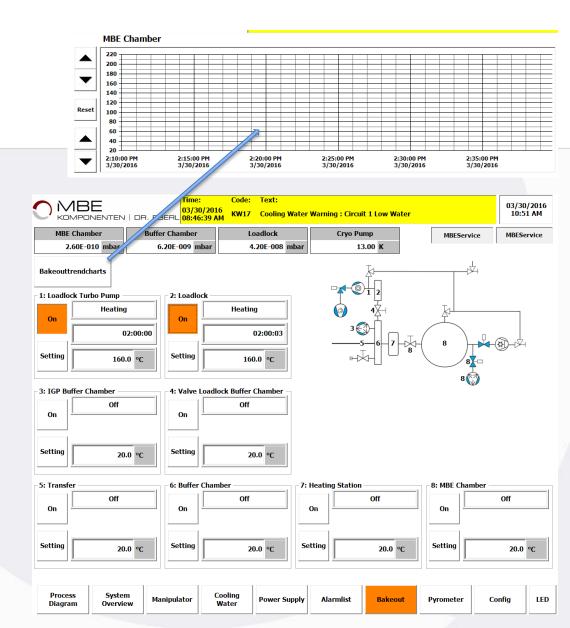


## MBE System Control (Example)

Page 7: Bakeout

#### Information:

- Pressure in each chamber
- Bakeout time and temperature for each system part
- Opening of trend charts

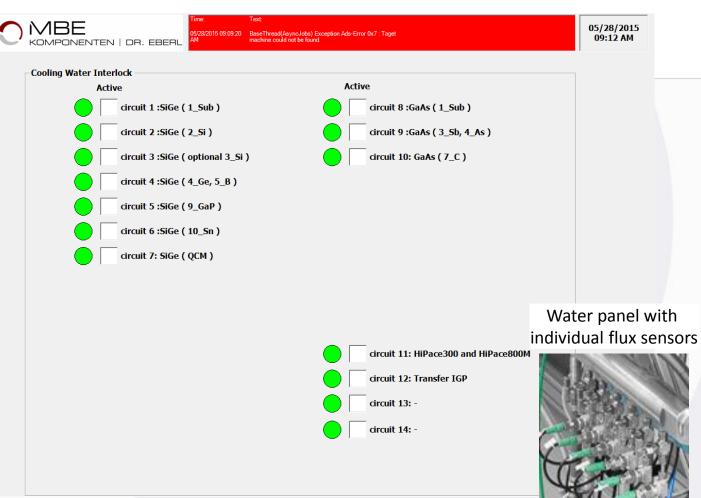




# MBE System Control – Example dual MBE Page 5: Cooling Water

#### Information:

- See status for each water circuit
- Change alarm levels
- Activate / deactivate each circuit
- Define action
   in case of alarm
   e.g. cell ramp down



Confidential information

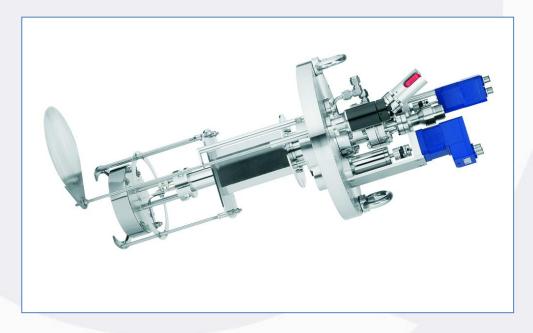


# Substrate manipulator

#### **Features**

- Temperature up to 1200°C wafer temp.
- continuous rotation,
- ceramic bearings
- vertical lift for transfer
- shutter option
- W heater
- wafer holder modified to allow heating of GEN II type 2inch moly block







# MBE – Chamber A: Material information

Material	$T_{melt}$	T @ 10 <sup>-3</sup> mbar)	recommended crucible	source / filament type
Ga	30	995	PBN	WEZ: dual filament cell – 35ccm
In	157	823	PBN	WEZ: dual filament cell – 35ccm
Al	660	1076	PBN	WEZ: effusion cell cold lip – 35ccm
Si doping			sublimation source	SUSI-D: low power, fast reaction
C doping			sublimation source	SUKO-D: low power fast reaction

### Note:

All recommendations are to the best of our knowledge but without guarantee. No responsibility is taken for damages arising by erroneous information.



# MBE Chamber B: Material information

Material	$T_{melt}$	T @ 10 <sup>-3</sup> mbar)	recommended crucible	source / filament type	
Ga	30	995	PBN	WEZ: dual filament cell / 35ccm	
Cu	1085	1117	PBN	WEZ: dual filament cell / 35ccm	
Al	660	1076	PBN	WEZ: effusion cell cold lip / 35ccm	
Mn	1246	823	PBN	WEZ: hot lip effusion cell / 35ccm	
Р			-	DECO: GaP compound source /35ccm	
Fe	1538	1302	Al203	HTEZ: high temperature cell / 10cc	
Pt	1768	1889	PG	HTEZ: high temperature cell / 10cc	
Si doping			sublimation source	SUSI:	

#### Note:

All recommendations are to the best of our knowledge but without guarantee. No responsibility is taken for damages arising by erroneous information.



## **Effusion Cells:**







# Effusion Cells WEZ / NTEZ:

- Evaporation of: In, Ga, Al .....
- Very robust wire Heater
- All feedthroughs exchangable
- Very stable flux
- Double dual filament / hot lip / cold lip
- crucible size 35 ccm



# High Temperature Source HTEZ

- Compatible with all MBE systems
- crucible sizes 10 ccm and varios materials,
- Self-supporting tungsten wire heater filament
- Clean operation in UHV up to 2000°C
- High reliability and long lifetime

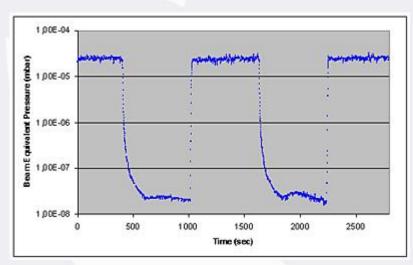






#### As valved cracker source

- Precise and fast As flux control
- Large capacity 300ccm or 500ccm
- As loading in one single piece of As
- Large opening cross section valve mechanism / no needle valve
- cooling shroud integrated



BEP vs. time, with valve on and off cell temp.  $390^{\circ}$ C / cracker temp.  $600^{\circ}$ C The motorized valve control allows to adjust and switch the  $As_2$  flux within seconds within about 3 orders of magnitude



**VACS 100-300** valved arsenic cracker with DN63CF (O.D. 4.5") mounting flange (using a VADP 100-63 adapter), 300 cm<sup>3</sup> crucible, cooling shroud and motor drive

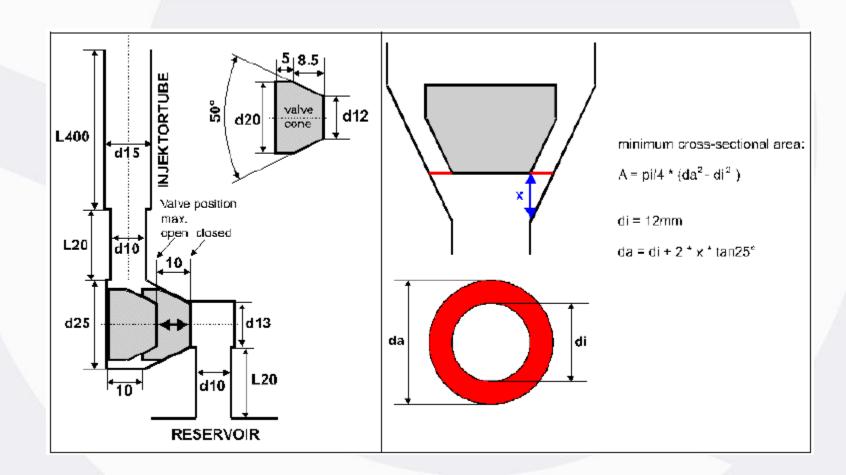


Motorized Valve Control Unit and DC power supplies

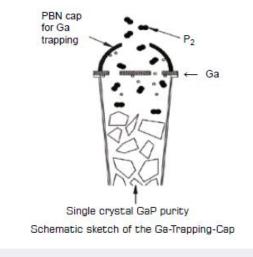


## VACS 300 / 500: sketch of valve mechanism

- Large opening cross section valve mechanism / no needle valve
  - -> the valve can not get stuck by design and, better pump out is possible as compared to needle valve design used by competitors
- large opening cross-section about 2cm², linear with valve position



# **GaP Decomponsition Source**



# GAP COMPOUND SOURCE DECO

- Compatible to all MBE systems
- Doping and MBE growth applications
- Ultra high purity P<sub>2</sub> beam
- Reduced White Phosphorus deposition
- Simple operation
- High reliability
- Precise and fast flux control





#### Silicon Sublimation Source: SUSI

#### **Features:**

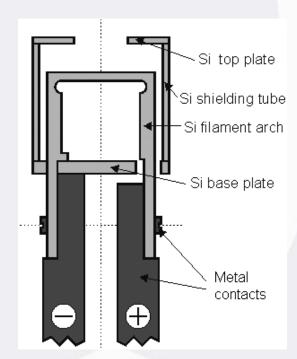
- High purity Si source for MBE
- Thermal sublimation of Si from high purity intrinsic or highly doped Si filament
- Excellent growth of thin silicon layers
- Compatible with most MBE systems
- Water-cooled electrical contacts
- Inner filament shielding with pure silicon parts
- No ceramic parts in the hot zone

## **Applications:**

- high purity n-type doping in III-V MBE
- Thin layer Si growth: 1-4 A/min.

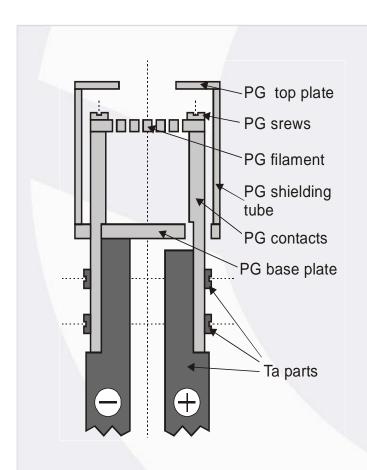
See web-page for more info: http://mbe-komponenten.de/products/mbecomponents/effusion-cells/susi.php

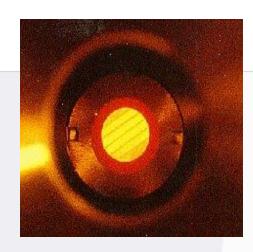






# C-doping source: SUKO 40-D (option, not included now)





# Main features and applications:

- High purity C source for MBE
- p-type doping in III-V semiconductors
- Thin layer C or SiC growth
- Graphene preparation
- low power consumption
- fast reaction

Ref. W. Wegscheider, W. Dietsche, .....



Longitudinal and Hall resistance at <30 mK for C-doped GaAs / AlGaAs Heterostructure Hall bar length 1 mm, width 200  $\mu$ m Mobility 1.2 x  $10^6$ cm<sup>2</sup>/Vs at a density of 2.3 x  $10^{11}$  cm<sup>-2</sup>

#### Inset:

Low magnetic field (-0.5 T < B < 1 T) Shubnikov-de-Haas oscillations and Hall measurement

#### **SUKO-D:**

optimized for min. heat load and shielding

Ref.:

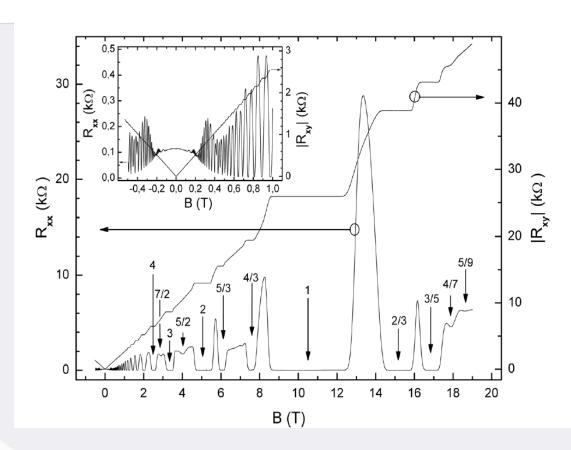
High Mobility MBE system

Prof. W. Wegscheider,

Univ. Regensburg

Prof. W. Dietsche

Prof. H. Sakaki ...



#### **Conclusion:**

Our unique high purity Si and C sublimitation doping cell, are used by high mobility GaAs MBE experts. Record highest mobility of p-type and n-type doping are achieved by our unique doping cells



# Example of application for the SUKO-D:

# Graphene grown on biotite mica substrates using the SUKO

Gunther Lippert et. al. IHP Microelectronics Frankfurt Oder Germany

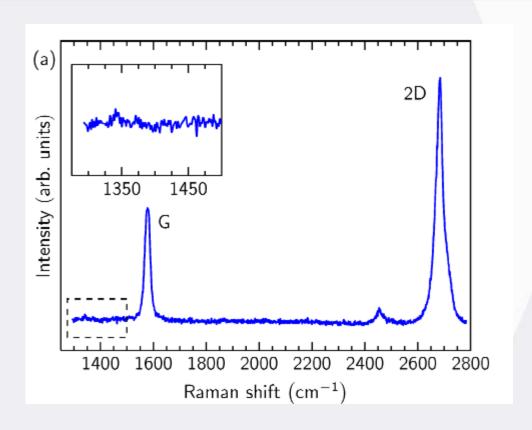
J. Cryst. Growth 2012

Raman spectra of MBE-grown graphene on mica acquired with 532 nm laser Excitation wavelength.

The inset shows the D-peak region, where no defect-induced peak can be Observed

#### Remark:

The C sublimation source allows Very high quolity Graphen layer deposition in MBE





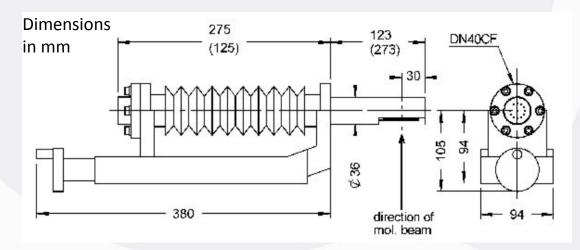
## Beam flux monitor

BFM 40-150

#### Features:

- Bayard-Alpert type ionization gauge
- Compatible with AML gauge controllers
- Mounting flange DN40 CF (O.D. 2.75")
- Linear gauge head positioning
- Standard linear travel 150mm
- Bakeable up to 250°C



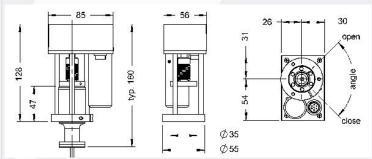




#### 1) Soft-acting rotary shutter

integrated in source cooling shroud easy to remove and cleaning of the whole assembly

0.2 sec open and closing time







The SCU allows manual control (CLOSE / OPEN), and remote control. Two LEDs indicate the actual shutter position (CLOSE / OPEN) when under either manual or remote control



## 2) Soft-acting linear shutter

very long life-time, no welded bellows 0.2sec open and closing time









# Shutter design:

Tilted shutter design for low transient, with Ga drop down nose

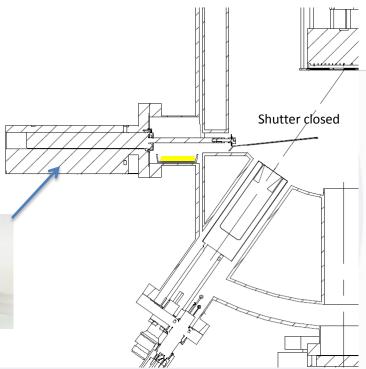
Shutter open



Ga collection tray in shutter port

Soft acting shutter motor with horizontal position and Sinus-motion to move slowly into end-positions to avoid particle drop down





### Summary:

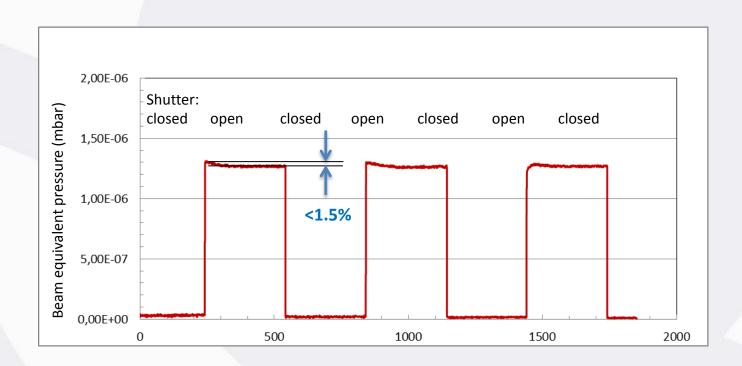
- The design avoids drop down of liquid Ga and In onto the cell, due to the tilted design with drop-down nose.
- Due to the nose, the liquid Ga or In are collected during backwards movement within a specially designed collection tray
- The soft-acting (sinuodal motion) avoids particle drop down.
- Horizontal shutter position much better then vertical shutter. Shutter from bottom flange have frequently problems with particles droping into the shutter drive which results in shutter blocking.



### Flux transien measurement result:

Shutter transient measured for Ga evaporation with linear shutter by using beam flux monitor (Bayard Alpert pressure gauge in substrate position.

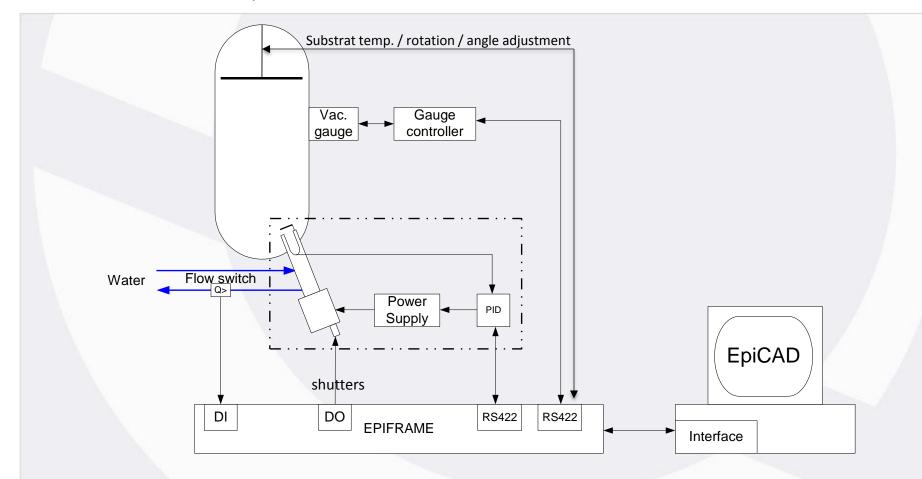
Result: -> Flux transient is smaller 1.5%





## **EPI-Soft Software**

# Schematic Illustration to EpiSoft



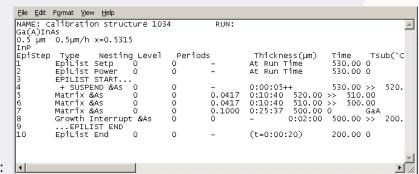


#### **EPI-Soft**

### Features:

- Data logging with user-defineable intervals
- Logged data can be exported in ASCI format:
   The user can define what data to export as well as how the data is to be exported to best fit in with spreadsheet programs.
  - export of the epi-list (run number, layer sequence including flux rates, shutters, comments etc.)
  - export of the process data, e.g.: substrate and source temperatures, pressure, system status, etc.
- 3. Alarm processing for safe system operation
- Calibration data editor with curve fitting functions and protected zones
- 5. Essential settings may be protected by password
- Comfortable recipe editor with error explanations and functionalities to write composite, doping or single material growth recipes

Set-up window for ASCII export file: EpiList ASCII Export Setup... Header (Records 1-5). X EpiList Name Time/Date Run Apply Description Comment Comment Close X Substrate Data Field Column Headings Data Records EpiStep. 💢 Index Include first 2 and last EpiStep Type: X Include separate record for superlattice start and end Include comments If a superlattice... 🔀 ... the Nesting Level Xi...the Number of Periods Thickness... Substrate.. X Thickness X Temperature X Start + End values (200>>400) X Time X Rotation Composition.. Composition Summary (e.g. Al12.7Ga87.3As) Start + End values Individual Composition Values Single Doping Value (0=undoped, +ve=p-type, -ve=n-type) Doping Type (u,n,p,dn,dp) + Doping value (e.g. n 3.0e17) Individual P-Loop Doping Values Start + End values





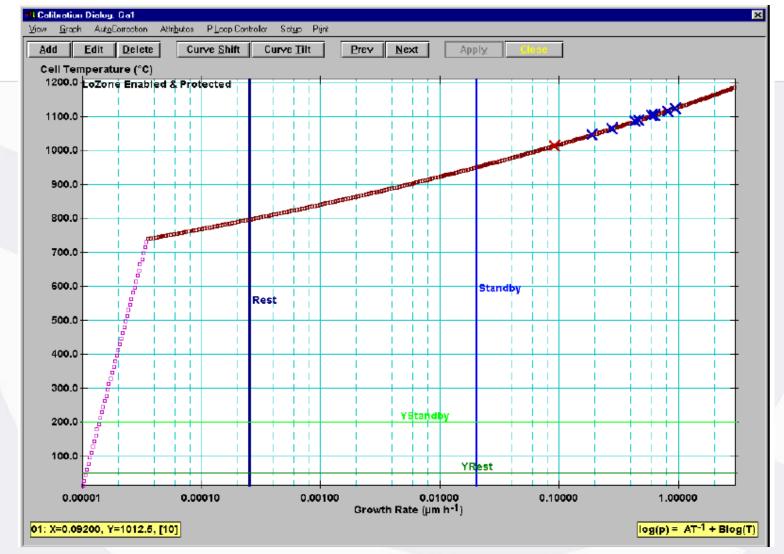
## Glossary

- Alarm Actions: Errors that the P-Loop should react upon like Sensor alarms or Alarm conditions by other P-Loops
- Alarm Conditions: Errors that occur within the P-Loop like Temperature deviation, Controller errors
- Process Data: Data consisting of Setpoint (Setp)and process value (Procv) that is derived from the calibration data and used for process control
- P-Loop: A Process Loop (P-Loop) consists of
  - Controller (PID, Control unit)
  - Power supply (may be integrated into the control unit)
  - Device (Evaporation source, measurement device)
- Calibration data: Data that is derived by calibration measurements and is used by EpiSoft to calculate Ysetp = f(Setp) and Procv = f(YProcv)
- EpiCad: Software package to control the P-Loop actions and interlocks
- HiZone: Zone within the calibration data that shouldn't be used for growth due to excessively high evaporation rates
- LoZone: Zone within the calibration data that shouldn't be used for growth due to low evaporation rates that might not result in sufficient growth rates
- Y-Data: Internal data consisting of Setpoint (YSetp)and process value (YProcv) for the P-Loop controller (Temperature, voltage signal)



#### **EPI-Soft Software**

Example for evaporation material flux calibration data graph:





# **EPI-Soft Software**

Epi-List:

Example of layer sequence for an AlGaAs alloy layer structure

It includes a periodic multilayer structure with 20 periodes of AlGaAs/GaAs

ROC PROC	CESS EPILIST EDITOR	JDCTEST <epistep +="" data="" list="">*</epistep>			16:12
<u>F</u> ile <u>V</u> ie	w <u>C</u> ontrol Epi <u>L</u> ist <u>E</u> dit	Add Integrty Run		<u>W</u> indo	ow <u>Z</u> -LogOut <u>H</u> elp
8	Matrix	T <sub>S</sub> 765.0°C +10.0rpm	T=0.0400µm		t=0:01:26.4
U	Al40.0Ga160.0AsVC			n6.0x10 <sup>17</sup> cm-3	
		Al:0.66667(1); Ga1:1(1); (Ga2:0.03	(1));	18(1)	
9	Matrix	T <sub>S</sub> 765.0°C +10.0rpm	T=0.5000µm		t=0:19:42.6
3	Al28.6Ga171.4AsVC			undoped	
		Al:0.4(1); Ga1:1(1); (Ga2:0.03(1))	; AsVC:4(1); (Si:1x10 <sup>18</sup> )	(1))	
10		Superlattice st	ructure		
Plan	p Mismatch Error				
1151	Start of 20 period R	epeat Sequence			
		Mismatched P-I	nons: Si		
	Matrix	T <sub>S</sub> 765.0°C +10.0rpm	T=0.0500µm		t=0:02:08.6
1281	Al28.6Ga171.4AsVC	•	т опософии	undoped	COIGEIGGIG
	20.0	Al:0.4(1); Ga1:1(1); (Ga2:0.03(1))	; AsVC:4(1); (Si:1x10 <sup>18</sup>		
Error	Matrix	T <sub>S</sub> 765.0°C +10.0rpm	T=0.0400µm		t=0:02:24.0
1381	Ga1AsVC		·	n1.5x10 <sup>13</sup> cm- <sup>3</sup>	
	(Al:0.4(1)); Ga1:1(1); (Ga2:0.03(1)); AsVC:4(1); <u>Si</u> :1.5x10 <sup>13</sup> (1)				
1481	End of Repeat Se	auanca			
1431	ciiu oi Nepeat Se	quence			
15		EPILIST	END		
	Excess	T <sub>S</sub> 100.0°C +10.0rpm			t=0:07:00.0
16	AsVC	18 <u>10010</u> C 110101pm		undoped	0.01.00.0
		Al:0.0042 <mark>(1)); (Ga1:0.0004(1)</mark> ); (Ga2:0.0	(Si:1.5		<b>.</b>
_			177	1 //	



# Remark to warranty, maintenance, service

#### Warranty

We offer 24 months warrenty from the date of acceptance or delivery (as agreed).

MBE Komponenten warrents that the goods delivered to the Purchaser will conform to the relevant technical specifications.

In the event that any goods delivered by MBE Komponenten do not meet the foregoing warranty, such shall be deemed defective and MBE Komponenten will give an appropriate credit for the defective good(s) to Purchaser or, repair or replace the defective good(s).

The claims regarding any defective goods must be made in writing to MBE Komponenten within 2 weeks after the defect occurs, and defective goods shall not have been manipulated, damaged or destroyed by Purchaser or its personnel.

MBE Komponenten shall be allowed a reasonable period to investigate any claim relating to defective goods and shall be given access to Purchaser's relevant records and data for this purpose.

#### Service and maintenance:

We guarantee that servicing (parts and labor) will be possible for a period of ten years after the date of acceptance.

MBE Komponenten implemented a well defined procedure for technical customer support and for processing of customer complaints. It is part of your internal quality management system according to the ISO 9001.

The procedure includes the following:

- The customer can contact us by telephone or email (German and English) on working days Mo to Fr from 8:30 to 16:00.
- Feedback by MBE expert is ensured by email or telephone within two working days.
- We are well know for our fast reaction and support in case of repair of our products and delivery of spare parts. We offer in-house repair and support at customer site within a few days (< 10 working days).
- We provide detailed failure mode analysis in order to improve our products continuously and to avoid it to happen again. An FMA report is provided.
- We provide clear and well arranged user manuals in order to avoid failures.

In this context see also our web-seite: <a href="http://www.mbe-komponenten.de/customer-info-and-service/return-policy.php">http://www.mbe-komponenten.de/customer-info-and-service/return-policy.php</a>
For the customer issue form see: <a href="http://mbe-komponenten.de/about-us/failure-description\_declaration-of-contamination.pdf">http://mbe-komponenten.de/about-us/failure-description\_declaration-of-contamination.pdf</a>

Dr. Eberl MBE Komponenten has a very good reputation as fare as product quality and customer service is concerned.



- Wide range of MBE systems and evaporation sources
- Customized designs and simulation of evaporation
- MBE Komponenten offers high product quality and very professional service



#### Attachment-B – To the Quotation 2017-08-25 - "MBE Apparatus"

Academy of Sciences of the Czech Republic Institute of Physics RNDr. Michael Prouza, Ph.D., Director Na Slovance 1999/2 182 21 PRAHA 8 (CZECH REPUBLIC)

Training and Pre-Acceptance test for the Dual MBE System.

The training and pre-acceptance test at MBE Komponenten Laboratory.

Protocol for training and pre - acceptance test for the MBE system:	Ok	Date	Name
(in our Lab., performed few weeks before shipment)	y/n		
All components in place and mounted as agreed			
Venting and pump-down of the system including He leak testing			
Show vacuum specification:			
Pressure in MBE I:			
The chamber pressure shall be <8E-11 mbar after bakeout			
Pressure in MBE II:			
The chamber pressure shall be <8E-11 mbar after bakeout			
Pressure Transfer Chamber:			
The chamber pressure shall be <2E-10 mbar after bakeout			
Training for the operation of key components and adjustment of PID			
regulation			
- Wafer introduction in Load-lock, pump down and transfer			
- parameters for temperature control			
- heat up of sources without loading			
- heat up of substrate heaters			
Demonstration of loading of the different types of sources, but, without real			
material loading			
Service work like crucible exchange and reloading of the sources			
Demonstration of the system control and MBE process control software			
Introduction to MBE process software: writing a recipe for layer growth			
Comments to system maintenance and service			
Confirmation: The training and test has been passed			
Signature:			
Customer Project leader MBE-Komp.			

1) Final acceptance test at customer site after system installation in customer laboratory High-purity source materials and adequate epi-ready 2inch GaAs (SI) (100) and Si (100) wafers must be provided in time by the customer.

Protocol for final acceptance test for the MBE system: (At customer site, performed after shipment and installation)	Ok y/n	Date	Name
All components in place and mounted as agreed			
He leak test performed, no leak detected, in MBE chambers, transfer			
chamber, degassing chambers and load-lock,			
Measurement of rest-gas spectrum in UHV chamber where mass analyser			
is installed.			
Functional Testing:			
Demonstrate sample transfer, and show that the sample transfer between all the chambers operates safely and smooth			
Demonstrate the system control software and the interlock functions, ensure the communications between the software and hardware works well, ensure the interlocks work well			
Show power shut down safety functions			
Demonstrate system bake-out – check if all bakeout features work well			
Base pressure MBE Chamber I:			
The chamber pressure shall be <8E-11 mbar after bakeout			
Base pressure MBE Chamber II:			
The chamber pressure shall be <8E-11 mbar after bakeout			
Base pressure Transfer Chamber: The chamber pressure shall be <2E-10 mbar after bakeout			
Load source materials and start second bakeout process followed by outgassing of the sources loaded with material.			
Show how to adjust PID parameters for one source.			
Demonstrate the temperature control accuracy is ±0.5°C, for all sources			
the stability of the temperature better than ±1 °C			
Epitaxial growth and related final acceptance criteria			
For MBE chamber I			
Demonstrate operation of some sources (high purity materials provided by customer):			
- heat up Ga and Al effusion cell and As valved cracker			
- adjust As flux rate and Ga flux rate using beam flux monitor			
- Introduce and deoxidize GaAs (100) by heating it up to > 580°C under As			
vapor pressure.			
- Observe RHEED pattern and oscillations for GaAs and AlGaAs set up initial flux calibration curve for Ga, Al and As			
Demonstrate how to use the Beam flux monitor to measure flux			
Demonstrate use of MBE process software:			
- Show how to adjust cell temperatures: Substrate heating and rotation			
demonstrated. Effusion cell heating and shutter operation demonstrated			
- Show how to write a recipe for layer deposition			
For MBE chamber I:			
Deposit GaAs/AlGaAs multi-layer on 2inch GaAs wafer to check layer			
thickness and uniformity better +/-1.5% (except for the 5 mm to the edge).			
Remark: the XRD measurement and evaluation has to be performed by			
customer within few days.			
- Deposit 6-10µm GaAs layer, check background doping <8x10 <sup>14</sup> ccm; - On request:			
Growth of generic HEMT structure: 30nm to 40nm AlGaAs spacer. Carrier			
density and mobility: n-type $\approx 2x10^{11}$ cm <sup>-2</sup> , $\mu > 1.6x10^5$ cm <sup>2</sup> /Vs at 77K.			
HALL measurement and evaluation to be performed by customer	]		

The measurements of defect density by using adequate microscope and the HALL measurement must be performed by the customer within 2		
working days. Otherwise the test is counted as passed.		
For MBE chamber II:		
Transfer undoped Si wafer into the MBE, heat up for de-oxidation to about		
1000°C.		
Demonstrate observation of RHEED pattern		
Demonstrate MBE growth of multi-layer structure with 2 or 3 different		
materials involved		
Customer my perform XRD measurement or other measurement to check		
layer thickness and uniformity better +/-1.5% over 2inch wafer		
Confirmation: The final acceptance test has been passed		
Signature:		
Customer Project leader MBE-Komp.		

List of open issues

List of open issues, faults	Date	done
Confirmation: All faults and open issued are resolved		
Signature: date Customer		